

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>SUSCL1 / 3</b>
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/586385</b>
INTERNATIONAL APPLICATION NO. <b>PCT ZA/2005/000009</b>	INTERNATIONAL FILING DATE <b>02 February 2005</b>	PRIORITY DATE CLAIMED <b>18 February 2004</b>
TITLE OF INVENTION <b>RADIO CONTROLLED BOAT</b>		
APPLICANT(S) FOR DO/EO/US <b>CLIVE VERNON BARRETT and SUSAN BARRETT</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))               <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).               <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))               <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> </ol>		

AP20 Rec'd PCT/PTO 17 JUL 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/580385</b>		INTERNATIONAL APPLICATION NO. <b>PCT ZA/2005/000009</b>		ATTORNEY'S DOCKET NUMBER <b>SUSCL1 / 3</b>	
20. Other items or information:					
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$ <b>300-</b>	
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c))				\$ <b>200-</b>	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0					
All other situations..... \$200					
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b))				\$ <b>400-</b>	
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400					
All other situations..... \$500					
<b>TOTAL OF 21, 22 and 23 =</b>					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	- 20 =	<b>10</b>	x \$ 50	\$ <b>500-</b>	
Independent claims	- 3 =		x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ <b>1400-</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$ <b>700-</b>	
<b>SUBTOTAL =</b>				\$ <b>700-</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ <b>700-</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ <b>700-</b>	
				Amount to be refunded:	\$
				Amount to be charged	\$

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- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

e ☒ Direct bank transfer - Proof of payment  
faxed to: 15712733201

SEND ALL CORRESPONDENCE TO:

Susan Barrett  
P.O. Box 726  
Margate 4275  
South Africa

rhythm@venturenet.co.za

SIGNATURE

NAME

REGISTRATION NUMBER

USPTO  
Patent Office  
Randolph Building  
401 Dulany Street  
Alexandria  
Virginia 22314  
USA



10/536785  
P. O. Box 726  
Margate 4275  
SOUTH AFRICA  
13<sup>th</sup> July 2006

Dear Sir / Madam

**re Application for National Phase Final Patent in the USA**  
**PCT ZA 2005/00 0009**

**We hereby make application for a final patent in the USA. Enclosed please find copies of relevant documents in support of our application as follows:**

- 1 - Copy of South African patent documents submitted to CIPRO to obtain a PCT**
- 2 - USPTO Declaration (pages 1 & 2 = SB01 and page 1 = SB02A)**
- 3 - USPTO Transmittal Letter (pages 1, 2 & 3 = 1390)**
- 4 - Copy of PCT Notification concerning publication & amendments (3 pages)**
- 5 - Copy of Amendments sent to and received by WIPO (8 pages)**
- 6 - Copy of email received from Jon Kurtz (USPTO) with Bank Details for direct bank transfer of Patent fees.**
- 7 - Copy of Search Report and Written Opinion from EPO (9 pages)**
- 8 - Copy of PCT notice informing us of the 30 month deadline in some cases (1 page)**

**It is important that amendments to drawings and the removal of Claim 31 (as noted and recorded by WIPO) be made during this National Phase complete patent application. Should there be any documents outstanding or further information required kindly notify us directly via fax or email if possible to ensure safe delivery of messages. Documents mailed to our P.O. Box number may only reach us if sent by Registered Mail.**

**Thank you for allowing us the opportunity to apply for protection of our remarkable invention in the USA. Looking forward to your response,**

**Sincerely,**

**Clive and Susan Barrett**

**\* Kindly confirm receipt of all pages via return fax or email. Thank you.**

**+27 39 317 1680 tel**  
**317 1874 fax**

**rhythm@venturenet.co.za**

USPTO  
Patent Office  
Randolph Building  
401 Dulany Street  
Alexandria  
Virginia 22314  
USA

P. O. Box 726  
Margate 4275  
SOUTH AFRICA  
13<sup>th</sup> July 2006

10/586385

JAP20 Rec'd PCT/PTO 17 JUL 2006

Att: Mr. Richard Ross

Dear Mr. Ross

**re Application for National Phase Final Patent in the USA**  
**PCT ZA 2005/00 0009**

On a personal note, I would like to thank you for taking my call yesterday and for clarifying some points regarding our patent application in the USA. As discussed, I am waiting for proof of payment from Exchange Control at First National Bank, Durban. As soon as I have it I will fax it through to USPTO on the number given: 571 273 3201. Payment of US\$700 with our PCT number as reference should reflect on the USPTO bank statement tomorrow.

The invention we seek to protect in the USA is truly a revolutionary angling tool which is already making waves in SA and overseas, excuse the pun. It is the world's first surf launched RC bait boat and can travel 1 000m into the ocean to drop baited hooks. It is also ideal for use on windy dams or in any rough water conditions as it is totally submersible and completely self righting. The little boats, decorated with flags and messages make perfect corporate advertising tools at water based events and can also be used for water rescue and underwater photography.

We have already successfully obtained patents and design registrations for our invention in South Africa, Namibia, Australia and New Zealand with further applications submitted to the EPO (30 European countries) and of course this application to the USA.

I trust that our application forms are all in order and hope that the additional documents supplied in support of our application will prove helpful.

Kindest regards,



Susan Barrett

+27 39 317 1680 tel  
317 1874 fax

rhythm@venturenet.co.za